IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF:

Svilans et al.

OUR FILE NO: 10-587 US

SERIAL NUMBER:

New Application

GROUP:

Unassigned

FILED:

New Application

EXAMINER:

Unassigned

TITLE:

OPTICAL SPECTRUM ANALYZER

Information Disclosure Statement

November 12, 2003

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

Certification

This Information Disclosure Statement is submitted within three months of: (i) the filing date of the above-identified U.S. National Patent application, or (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies. This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application. This Information Disclosure Statement is submitted after three months from (i) the filing date of the above-identified U.S. National Patent application, or (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to §1.17(i) (1) are submitted herewith.

 A certification under 37 C.F.R §1.97 is submitted herewith separately from this paper.
 It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
 No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No: 50-1465.

Yours very truly,

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Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant			ATTY. DOCKET NUMBER: 10-587 US		SERIAL NUMBER: New Application				
			FILING DATE: New Application			GROUP: Unassigned			
			U.S. Patent Docum	ents					
DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILIN APPI			
5,617,234	April 1, 1997		Koga et al.		H04S	14/02			
1		Foreign Pa	tent Documents		<u> </u>	<u></u>			
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	DOCUMENT NUMBER 5,617,234 DOCUMENT NUMBER	DOCUMENT NUMBER 5,617,234 Apr.	APPLICAN Svilans et Document Number Document Number	APPLICANT: Svilans et al. APPLICANT: Svilans et al. FILING DATE: New Application U.S. Patent Docum Document Number Date Name 5,617,234 April 1, 1997 Koga et al. Foreign Patent Documents Document Number Date Country	APPLICANT: Svilans et al. FILING DATE: New Application U.S. Patent Documents Document Number Date Name Foreign Patent Documents Document Number Document Number Document Date Document Documents Foreign Patent Documents Class	APPLICANT: Svilans et al. FILING DATE: New Application U.S. Patent Documents Document Number Date Name CLass 5,617,234 April 1, 1997 Koga et al. Foreign Patent Documents Document Number Date Country Class Subclass	APPLICANT: Svilans et al. APPLICANT: Svilans et al. FILING DATE: New Application U.S. Patent Documents Document Number DATE NAME CLASS SUBCLASS FOREign Patent Documents Foreign Patent Documents CLASS TRANSL DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSL YES		

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